

# On discontinuous groups acting on homogeneous spaces with non-compact isotropy subgroups

Toshiyuki Kobayashi<sup>1</sup>

*Institute for Advanced Study, School of Mathematics, Princeton, NJ 08540, USA*  
*Department of Mathematical Sciences, University of Tokyo, Meguro, Komaba, 153, Tokyo, Japan*

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Let  $G$  be a Lie group and  $H$  a closed subgroup. The action of a discrete subgroup  $\Gamma$  of  $G$  on  $G/H$  is not always properly discontinuous if  $H$  is non-compact. If the action of  $\Gamma$  is properly discontinuous, then  $\Gamma$  is called a discontinuous group acting on  $G/H$ . If  $G/H$  is of reductive type, it is known that there are *no* infinite discontinuous groups acting on  $G/H$  (called Calabi–Markus phenomenon) iff  $\mathbb{R}\text{-rank } G = \mathbb{R}\text{-rank } H$ . For a better understanding of discontinuous groups we are thus interested in cases (i) where  $G/H$  is non-reductive, and (ii) where  $G/H$  is of reductive type with  $\mathbb{R}\text{-rank } G = \mathbb{R}\text{-rank } H + 1$ . In this paper we consider the Calabi–Markus phenomenon in solvable cases of type (i). We also study discontinuous groups of reductive group manifolds for case (ii) and generalize a result of Kulkarni–Raymond to higher dimensions.

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## 0. Introduction

One of the basic problems in geometry has been to study how local geometric structure affects the global nature of a manifold. Our concern in this paper is with a special problem of this kind: “What is a possible fundamental group  $\pi_1$  of a manifold which is locally isomorphic to a particular homogeneous space?” This is similar to a well-studied problem in differential geometry about a possible fundamental group  $\pi_1$  of a manifold under certain curvature conditions. Here are some typical examples:

(1) In the physics of relativistic cosmology, the space–time continuum is taken to be a Lorentz manifold  $M^4$ . Here a Lorentz manifold  $M^n$  is an  $n$ -dimensional manifold which bears a pseudo-Riemannian metric of type  $(n -$

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1, 1). The manifold  $M$  is said to be complete if every geodesic can be defined on all time intervals. A relativistic spherical space form is a complete Lorentz manifold  $M^n$  for  $n \geq 3$  with constant curvature  $K = +1$ . It is a remarkable result due to Calabi–Markus that every relativistic space form is non-compact and has a finite fundamental group  $\pi_1$  [C-M].

(2) A Clifford–Klein form of a connected and simply connected Riemannian manifold  $M$  is a Riemannian manifold whose universal Riemannian covering is isomorphic to  $M$ . For example, any compact Riemann surface of genus  $\geq 2$  is regarded as a compact Clifford–Klein form of the Poincaré plane. More generally, there always exists a compact Clifford–Klein space form of a Riemannian symmetric space of the noncompact type [Bo,B-H,M-T].

(3) An affine manifold  $M^n$  is a manifold which admits a torsion free affine connection whose curvature tensor vanishes. It is called Auslander’s conjecture that the fundamental group  $\pi_1$  of any compact complete affine manifold is virtually solvable (see refs. [A,Mi,Ma] for instance).

These cases can be reformulated in the context of discontinuous groups acting on homogeneous spaces as follows. Let  $G$  be a Lie group and  $H$  a closed subgroup of  $G$ . A subgroup  $\Gamma$  of  $G$  is called a *discontinuous group acting on a homogeneous space  $G/H$*  if the action of  $\Gamma$  on  $G/H$  from the left is properly discontinuous. A discontinuous group acting on  $G/H$  is automatically discrete in  $G$ , whatever  $H$  is. A distinguishing feature in our setting is that  $H$  is non-compact, and consequently, a discrete subgroup is not necessarily a discontinuous group acting on  $G/H$ . This is the primary difficulty in our study. On the other hand, in the above definition of a discontinuous group we do not require freeness of the action. A small price to pay is that the double coset space  $\Gamma \backslash G/H$  is not necessarily a manifold but only a  $V$ -manifold in the sense of Satake [Sa]. However, if there exists a cocompact discontinuous group  $\Gamma$  acting on  $G/H$  (i.e., a discontinuous group acting on  $G/H$  such that  $\Gamma \backslash G/H$  is compact), then we can replace  $\Gamma$  by a subgroup  $\Gamma'$  of finite index in  $\Gamma$  so that  $\Gamma' \backslash G/H$  is a compact smooth manifold by virtue of the result in ref. [Se]. Now the above examples are reformulated respectively as follows:

(1') Any discontinuous group acting on  $SO(n, 1)/SO(n - 1, 1)$  is finite.

(2') There exists a cocompact discontinuous group acting on  $G/K$  if  $G$  is a real linear semisimple Lie group and if  $K$  is a maximal compact subgroup of  $G$ .

(3') Any cocompact discontinuous group acting on  $GL(n, \mathbb{R}) \ltimes \mathbb{R}^n / GL(n, \mathbb{R})$  is conjectured to be virtually solvable.

Here are some comments on recent progress on (1'), (2') and (3').

Conjecture (3') remains open except for some special cases such as  $O(n) \ltimes \mathbb{R}^n / O(n)$  (Bieberbach’s theorem, see ref. [R], corollary 8.26),  $O(n, 1) \ltimes \mathbb{R}^n / O(n, 1)$  [G-K],  $G \ltimes \mathbb{R}^n / G$  where  $G$  is a subgroup of  $GL(n, \mathbb{R})$  which is locally isomorphic to a direct product of semisimple Lie groups of rank 1 [To].

It also remains open to classify the homogeneous spaces of reductive type (see section 3 for definition) that admit compact Clifford–Klein space forms [see (2')]. Partial results have been obtained in refs. [Bo,M-T,Ku,Ko1,Ko3].

The feature in (1') without infinite discontinuous groups is called *Calabi–Markus phenomenon*. In a previous paper we have established a criterion for the Calabi–Markus phenomenon in the case of a homogeneous space of reductive type:

**Fact 0.1** (see refs. [C-M,Wo1,Wo2,Wo3,Ku,Ko1]). Let  $G/H$  be a homogeneous space of reductive type (see section 3 for definition). Then the following conditions are equivalent:

- (i) Any discontinuous group acting on  $G/H$  is finite.
- (ii)  $\mathbb{R}\text{-rank } G = \mathbb{R}\text{-rank } H$ .

In view of this, we wish to proceed a step further by posing the following questions:

**Question 0.2.** Suppose  $G/H$  is *not* of reductive type. Find a condition that  $G/H$  admits an infinite discontinuous group.

**Question 0.3.** Suppose  $G/H$  is of reductive type with  $\mathbb{R}\text{-rank } G - \mathbb{R}\text{-rank } H = 1$ . What can we say about a possible infinite discontinuous group acting on  $G/H$ ?

In answer to question 0.2 for solvable homogeneous spaces, we shall prove

**Theorem 1** (see section 2). *Suppose  $G$  is a solvable Lie group and  $H$  is a proper closed subgroup of  $G$ . Then there exists a discrete subgroup  $\Gamma$  of  $G$  acting on  $G/H$  properly discontinuously and freely such that the fundamental group  $\pi_1(\Gamma \backslash G/H)$  is infinite.*

This result is in sharp contrast to the reductive case; For example, the following homogeneous spaces  $G/H = \text{GL}(n, \mathbb{C})/\text{GL}(n, \mathbb{R}), \text{GL}(m + n, \mathbb{R})/\text{GL}(m, \mathbb{R}) \times \text{GL}(n, \mathbb{R}), \text{U}(p, q)/\text{SO}(p, q)$ , which are of the reductive type, do not admit infinite discontinuous groups by fact (0.1).

Given a subgroup  $\Phi$  of  $G$  and a homomorphism  $\rho : \Phi \rightarrow G$ , we form a subgroup of  $G \times G$  as

$$\Phi(\rho) := \{(\gamma, \rho(\gamma)) : \gamma \in \Phi\} \subset G \times G.$$

If the homomorphism  $\rho$  is the trivial representation  $\mathbf{1}$ , then the action of  $\Phi(\mathbf{1}) = \Phi \times \mathbf{1}$  on  $G \simeq G \times G / \text{diag } G$  is nothing but the action from the left. In this sense we might regard the action of  $\Phi(\rho)$  as a “deformation” of the left action of  $\Phi$ . If

$\Phi$  is a discrete subgroup of  $G$  and if the image  $\rho(\Phi)$  is relatively compact, then  $\Phi(\rho)$  is also a discontinuous group acting on the group manifold  $G \times G/\text{diag } G$ .

For example, suppose that  $\Phi \subset \text{PSL}(2, \mathbb{R})$  is the fundamental group of a compact Riemann surface  $M$  of genus  $g (\geq 2)$ , and fix generators of the first homology group  $H_1(M, \mathbb{Z}) \simeq \mathbb{Z}^{2g}$ . Then we find the moduli space of group homomorphisms from  $\Phi$  to  $\text{SO}(2)$  to be  $\text{Hom}(\Phi, \text{SO}(2)) \simeq \mathbb{T}^{2g}$ . That is,  $\lambda \in \mathbb{T}^{2g}$  defines a homomorphism  $\varphi_\lambda : \mathbb{Z}^{2g} \rightarrow \mathbb{T} \simeq \text{SO}(2) \subset \text{PSL}(2, \mathbb{R})$ , and we get a homomorphism  $\rho_\lambda : \Phi \rightarrow \text{PSL}(2, \mathbb{R})$  as a composition of  $\varphi_\lambda$  and  $\Phi \simeq \pi_1(M) \rightarrow \Phi/[\Phi, \Phi] \simeq H_1(M, \mathbb{Z}) \simeq \mathbb{Z}^{2g}$ . Then  $\Phi(\rho_\lambda) = \{(\gamma, \rho_\lambda(\gamma)) : \gamma \in \Phi\}$  forms a family of cocompact discontinuous groups acting on the group manifold of  $G \times G/\text{diag } G$  parametrized by  $\lambda \in \mathbb{T}^{2g}$ .

Even though it is hopeless to classify all discontinuous groups arising in question 0.3 because it involves all discrete subgroups of a semisimple Lie group  $G$  with  $\mathbb{R}\text{-rank } G = 1$  (i.e. discontinuous groups acting on  $G/\{e\}$ ), we can describe some aspects of the structure of such a discontinuous group when  $G/H$  is a group manifold  $G' \times G'/\text{diag } G'$ , where  $\mathbb{R}\text{-rank}(G' \times G') - \mathbb{R}\text{-rank}(G') = 1$  (i.e.  $\mathbb{R}\text{-rank } G' = 1$ ).

**Theorem 2** (see corollary 3.4). *Let  $G$  be a connected non-compact reductive linear group. Then the following conditions are equivalent.*

(1)  $\mathbb{R}\text{-rank } G = 1$ .

(2) *For any torsionless discontinuous group  $\Gamma$  acting on  $G \times G/\text{diag } G$ , we can find a subgroup  $\Phi \subset G$  and a homomorphism  $\rho : \Phi \rightarrow G$  such that  $\Gamma = \{(\gamma, \rho(\gamma)) : \gamma \in \Phi\}$  up to a switch of factor.*

**Remark 1.** Kulkarni and Raymond first proved (1)  $\Rightarrow$  (2) when  $G = \text{SL}(2, \mathbb{R})$  in their study of three-dimensional Lorentz space forms (see theorem 5.2 and introduction in ref. [K-R]). Their proof depends on the key lemma that no discontinuous group acting on  $G \times G/\text{diag } G$  contains an abelian subgroup  $\simeq \mathbb{Z}^2$  if  $G = \text{SL}(2, \mathbb{R})$ . However, this is not always true even if we assume  $G$  is of  $\mathbb{R}\text{-rank } 1$ . For example, we can show that there exists an abelian discontinuous group  $\simeq \mathbb{Z}^{n-1}$  acting on  $G \times G/\text{diag } G$  if  $G = \text{SO}(n, 1)$ .

**Remark 2.** Theorem 2 leads us to a natural question about the condition on the pair  $\Phi$  and  $\rho$  such that  $\Phi(\rho)$  is a discontinuous group acting on the group manifold  $G \times G/\text{diag } G$ . In the case  $G = \text{SL}(2, \mathbb{R})$ , it is known to be necessary that  $\Phi$  is discrete (possibly after a switch of factor) [K-R]. It is not known to the author whether it is necessary that  $\Phi$  is discrete (after a switch of factor) for a general  $\mathbb{R}\text{-rank } 1$  group. On the other hand, it is sufficient for the discontinuity of  $\Phi(\rho)$  that  $\Phi$  is discrete and  $\rho$  has a relatively compact image. There are a number of examples of such homomorphisms  $\rho$ . For instance, if  $G$  is a complex semisimple Lie group and  $\Phi$  is arithmetic, then we can find a non-trivial

homomorphism  $\rho$  into a maximal compact group of  $G$  (e.g., ref. [Z], example 5.2.12). If  $G = \text{SO}_0(n, 1)$  and  $\Phi$  is an arithmetic cocompact discrete subgroup of  $G$  such that the first Betti number  $b_1$  of  $\Phi \backslash \text{SO}_0(n, 1) / \text{SO}(n)$  does not vanish (Thurston’s conjecture, see ref. [L]), then we have a continuous family of discontinuous groups  $\Psi(\rho)$  parametrized by  $\rho \in \text{Hom}(\Phi, \mathbb{T}^{[n/2]}) \simeq \mathbb{T}^{b_1[n/2]}$  when  $G = \text{SO}_0(n, 1)$ , as we saw for  $G = \text{PSL}(2, \mathbb{R})$ . Finally we also remark that in the case  $G = \text{SL}(2, \mathbb{R})$ , some other sufficient conditions for  $(\Phi, \rho)$  are also known that assure the discontinuity of  $\Phi(\rho)$  on  $G \times G / \text{diag } G$  (see ref. [G]), but it still remains open to classify all possible  $(\Phi, \rho)$  such that  $\Phi(\rho)$  is a cocompact discontinuous group acting on  $\text{SL}(2, \mathbb{R}) \times \text{SL}(2, \mathbb{R}) / \text{diag } \text{SL}(2, \mathbb{R})$ .

**Remark 3.** It is remarkable that the example in remark 2 shows that “local rigidity” fails in higher dimensions in the case where the isotropy group is not compact. To be more precise, let  $\Phi$  be a finitely generated group and  $G$  a Lie group. Let  $R(\Phi, G)$  be the set of all homomorphisms of  $\Phi$  into  $G$  equipped with the topology of pointwise convergence. Let  $H$  be a closed subgroup of  $G$ . We define

$$R(\Phi, G, H) := \{u \in R(\Phi, G) : u \text{ is injective,}$$

$$u(\Phi) \text{ is a discontinuous group acting on } G/H;$$

$$R_0(\Phi, G, H) := \{u \in R(\Phi, G, H) : u(\Phi) \backslash G/H \text{ is compact}\}.$$

A homomorphism  $u \in R(\Phi, G, H)$  is called *locally rigid* if the orbit of  $u$  in  $R(\Phi, G, H)$  under  $G$  is open in  $R(\Phi, G, H)$ . If  $G$  is semisimple with trivial center and no compact factors, then local rigidity holds for any  $u \in R_0(\Phi, G, \{e\})$  (or  $u \in R_0(\Phi, G, K)$  where  $K$  is a maximal compact group of  $G$ ) unless  $G = \text{PSL}(2, \mathbb{R})$  (Weil’s rigidity theorem). However, in the case of  $G = \text{SO}_0(n, 1)$ , local rigidity fails for  $G \times G / \text{diag } G$  because two generic elements in  $\{\Phi(\rho) : \rho \in \text{Hom}(\Phi, \mathbb{T}^{b_1[n/2]})\} \subset R_0(\Phi, G \times G, \text{diag } G)$  (with the notation of remark 2) are not conjugate under  $G \times G$ .

### 1. Preliminary results on proper actions

First of all, let us recall the definition of a proper continuous map.

**Definition 1.1** (see ref. [Bou]). Let  $f : X \rightarrow Y$  be a continuous map between locally compact Hausdorff spaces.  $f$  is called *proper* iff one of the following equivalent conditions holds.

- (i)  $f$  is a closed map, and  $f^{-1}(y)$  is compact for any  $y \in Y$ .
- (ii) For any topological space  $Z$ ,  $f : X \times Z \rightarrow Y \times Z$  is a closed map.
- (iii)  $f^{-1}(S)$  is compact for any compact subset  $S$  of  $Y$ .

If  $f$  is a proper map, then it follows easily that a closed subset  $Z$  of  $X$  is compact iff  $f(Z)$  is contained in some compact set of  $Y$ .

**Definition 1.2.** Suppose that a locally compact topological (Hausdorff) group  $G$  acts continuously on a locally compact Hausdorff space  $X$ . This action is called *proper* iff the map  $G \times X \ni (g, x) \mapsto (x, g \cdot x) \in X \times X$  is proper. Equivalently,  $G_S := \{g \in G : g \cdot S \cap S \neq \emptyset\}$  is compact for every compact subset  $S$  in  $X$ . The action is called *properly discontinuous* iff  $G$  is discrete and acts properly on  $X$ .

The following elementary lemma deals with proper actions under an equivariant map.

**Lemma 1.3.** Let  $G_i$  ( $i = 1, 2$ ) be locally compact groups and  $L_i, H_i \subset G_i$  be closed subgroups. Suppose that  $f : G_1 \rightarrow G_2$  is a (continuous) homomorphism such that  $f(L_1) \subset L_2$ ,  $f(H_1) \subset H_2$ . Assume that  $f(L_1)$  is closed in  $G_2$ .

(1) Assume that  $L_1 \cap \text{Ker } f$  is compact. If the  $L_2$  action on  $G_2/H_2$  is proper, then the  $L_1$  action on  $G_1/H_1$  is also proper.

(2) Assume that  $f(G_1)H_2 = G_2$ , that  $G_1 \rightarrow G_2/H_2$  is an open map, and that the quotients  $L_2/f(L_1)$ ,  $f^{-1}(H_2)/H_1$  are compact. If the  $L_1$  action on  $G_1/H_1$  is proper, then the  $L_2$  action on  $G_2/H_2$  is also proper.

**Remark 1.4.** If  $G_i$  are (separable) Lie groups, then the first assumption  $f(G_1)H_2 = G_2$  in (2) implies the second one that the map  $G_1 \rightarrow G_2/H_2$  is open.

**Remark 1.5.** In (2), the assumption  $f(G_1)H_2 = G_2$  looks very strong. However, we cannot replace this assumption by the weaker one that  $G_2/f(G_1)$  is compact. For example, let  $G_1 = \mathbb{R}^n$  and  $W$  be a finite subgroup of  $\text{GL}(n, \mathbb{R})$ . Then we form a semi-direct product  $G_2 := W \ltimes \mathbb{R}^n$ . Let  $f : G_1 \hookrightarrow G_2$  be a natural inclusion. Fix two abelian subspaces  $L_1, H_1 \subset G_1 = \mathbb{R}^n$  such that  $L_1 \cap H_1 = \{0\}$  and that  $w \cdot L_1 \cap H_1 \neq \{0\}$  for some  $w \in W$ . Define subgroups of  $G_2$  by  $L_2 := L_1$ ,  $H_2 := H_1$ , where we regard  $G_1 \subset G_2$ . Then  $L_1$  acts properly on  $G_1/H_1$ , while  $L_2$  does not act properly on  $G_2/H_2$ . This kind of situation turns up as a reduction of the case where  $G_i, L_i, H_i$  are connected reductive groups (see ref. [Ko1], theorem 4.1).

*Proof of lemma 1.3.*

(1) Fix any compact subset  $S$  of  $G_1$ . We want to show that the set  $\{g \in L_1 : (g \cdot S \text{ mod } H_1) \cap (S \text{ mod } H_1) \neq \emptyset \text{ in } G_1/H_1\} = L_1 \cap SH_1S^{-1}$  is compact. In view of

$$f(L_1 \cap SH_1S^{-1}) \subset L_2 \cap f(S)H_2f(S)^{-1},$$

$f(L_1 \cap SH_1S^{-1})$  is contained in a compact set if  $L_2$  acts on  $G_2/H_2$  properly. Then  $L_1 \cap SH_1S^{-1}$  is compact, since  $f|_{L_1} : L_1 \rightarrow L_2$  is a proper map because it

is a composition of proper maps:  $L_1 \rightarrow L_1/L_1 \cap \text{Ker } f \cong f(L_1) \hookrightarrow L_2$ . That is,  $L_1$  acts on  $G_1/H_1$  properly.

(2) As  $f(L_1)$  is a closed and cocompact subgroup of  $L_2$ ,  $L_2$  acts properly iff  $f(L_1) (\subset L_2)$  acts properly. So we may and do assume  $f(L_1) = L_2$ . Take a compact set  $S_1$  of  $G_1$  such that  $f^{-1}(H_2) = S_1H_1$ . We may assume that  $S_1$  contains the unit of  $G_1$ . Fix any compact subset  $S$  of  $G_2$ . Let us show that  $L_2 \cap SH_2S^{-1}$  is compact. The existence of a compact subset  $\tilde{S}$  of  $G_1$  such that  $f(\tilde{S})H_2 \supset S$  follows from the fact that  $G_1/f^{-1}(H_2)$  is homeomorphic to  $G_2/H_2$  (see the assumptions that  $G_1 \rightarrow G_2/H_2$  is an open map and  $f(G_1)H_2 = G_2$ ). Then we have

$$f^{-1}(L_2 \cap SH_2S^{-1}) \subset f^{-1}(L_2) \cap \tilde{S}f^{-1}(H_2)\tilde{S}^{-1}.$$

In particular,  $(f|_{L_1})^{-1}(L_2 \cap SH_2S^{-1})$  is compact if  $L_1$  acts properly on  $G_1/H_1$ , because

$$(f|_{L_1})^{-1}(L_2 \cap SH_2S^{-1}) \subset L_1 \cap f^{-1}(L_2) \cap \tilde{S}f^{-1}(H_2)\tilde{S}^{-1} \subset L_1 \cap \tilde{S}S_1H_1S_1^{-1}\tilde{S}^{-1}.$$

Under our assumption  $f(L_1) = L_2$ , we have  $L_2 \cap SH_2S^{-1} = (f|_{L_1}) \circ (f|_{L_1})^{-1}(L_2 \cap SH_2S^{-1})$  is compact. Thus  $L_2$  acts on  $G_2/H_2$  properly.  $\square$

## 2. Homogeneous spaces of solvable groups

First we recall a nice topological property of a subgroup of a solvable Lie group due to Chevalley.

**Fact 2.1** [Ch]. *Let  $G$  be a one-connected (real) solvable Lie group and  $H$  be a connected subgroup of  $G$ . Then  $H$  is closed and one-connected.*

Our main theorem in this section is

**Theorem 2.2.** *Let  $G$  be a solvable Lie group and  $H$  a proper closed subgroup of  $G$ . Then there exists a discrete subgroup  $\Gamma$  of  $G$  that acts on  $G/H$  properly discontinuously and freely such that the fundamental group  $\pi_1(\Gamma \backslash G/H)$  is infinite.*

If  $\#\pi_1(G/H) = \infty$ , then we can take  $\Gamma = \{e\}$  and we are done. Hereafter we suppose  $\pi_1(G/H)$  is a finite group. We put  $G_2 := G$ ,  $H_2 := H$ ,  $G_1 :=$  the universal covering group of  $G_2$  and  $H_1 :=$  the connected subgroup of  $G_1$  with the Lie algebra  $\mathfrak{h}$ . We write  $f : G_1 \rightarrow G_2$  for the covering map. Because  $\pi_1(G/H) = \pi_1(G_2/H_2) = \pi_1(G_1/f^{-1}(H_2)) = f^{-1}(H_2)/H_1$ , and because  $\pi_1(G/H)$  is a finite group, we can apply lemma 1.3(2) with any subgroup  $L_1 \subset G_1$  and with  $L_2 := f(L_1)$ . Therefore, in order to prove theorem 2.2 it suffices to prove:

**Theorem 2.2'.** *Let  $G$  be a one-connected (real) solvable group and  $H$  be a connected proper subgroup of  $G$ . Then there exists a discontinuous group acting on  $G/H$  which is isomorphic to  $\mathbb{Z}$ .*

*Proof.* We proceed by induction on the dimension of  $G$ . Theorem 2.2' is clear when  $\dim G = 1$ , namely, when  $G \simeq \mathbb{R} \supset H \simeq \{0\}$ . Suppose that  $\dim G \geq 2$ . Then there exists a connected normal subgroup  $N$  of  $G$  with  $0 < \dim N < \dim G$ . We will divide into two cases according as  $HN \subsetneq G$  or  $HN = G$ .

(I) Assume that  $HN \subsetneq G$ . The subgroup  $HN$  is connected and therefore closed by fact 2.1. So  $\overline{H} := H/H \cap N = HN/N$  is a proper closed subgroup of  $\overline{G} := G/N$ . We write the canonical projection  $\pi : G \rightarrow \overline{G} = G/N$ . It follows from the inductive assumption that we can find a discrete subgroup  $\overline{\Gamma}$  of  $\overline{G}$  such that  $\overline{\Gamma}$  is isomorphic to  $\mathbb{Z}$  and acts on  $\overline{G}/\overline{H}$  properly. Fix an element  $\gamma \in G$  such that  $\pi(\gamma)$  is a generator of  $\overline{\Gamma}$ . Put  $\Gamma := \langle \gamma \rangle$ . We have  $\pi(\Gamma) = \overline{\Gamma}$ , and therefore  $\Gamma \simeq \mathbb{Z}$  and  $\Gamma \cap N = \{e\}$ . On the other hand,  $\overline{\Gamma}$  is discrete and so is  $\Gamma$ . Applying lemma 1.3(1), we have now shown that  $\Gamma$  acts on  $G/H$  properly discontinuously.

(II) Assume that  $HN = G$ . We have  $G/H \simeq N/N \cap H$  and  $N \cap H \subsetneq N$ . Since  $\pi_1(N/N \cap H) = \pi_1(G/H) = \{e\}$ ,  $N \cap H$  is connected. Thus  $(N, N \cap H)$  satisfies the assumption of theorem 2.2' and  $\dim N < \dim G$ . Therefore we can find a discrete group  $\Gamma \simeq \mathbb{Z}$  of  $N$  which acts on  $N/N \cap H$  from the inductive assumption. Clearly,  $\Gamma$  is a subgroup of  $G$  acting properly discontinuously on  $G/H$ . □

### 3. $\mathbb{R}$ -rank 1 semisimple group manifolds

Throughout this section, we assume that  $G$  is a connected real reductive linear Lie group. First we set up notation. Let  $G$  be a real linear reductive Lie group, with real Lie algebra  $\mathfrak{g}$ . Given a Cartan involution  $\theta$  of  $G$ , we write a Cartan decomposition of its Lie algebra as  $\mathfrak{g} = \mathfrak{k} + \mathfrak{p}$ . Fix a maximally abelian subspace  $\mathfrak{a} \subset \mathfrak{p}$ .  $\mathfrak{a}$  is called a maximally split abelian subspace for  $G$ . We write  $W(\mathfrak{g}, \mathfrak{a})$  for the Weyl group associated to the root system of  $\Sigma(\mathfrak{g}, \mathfrak{a})$ . Let  $\mathbb{R}\text{-rank } G := \dim \mathfrak{a}$ , the real rank of  $G$ . Let  $H$  be a closed subgroup of  $G$  which has finitely many connected components. If there exists a Cartan involution of  $G$  which stabilizes  $H$ , then  $H$  is called *reductive in  $G$*  and  $G/H$  is called *a homogeneous space of reductive type*. In this case,  $H$  has a Cartan decomposition  $H = (H \cap K) \exp(\mathfrak{h} \cap \mathfrak{p})$ , and  $\mathfrak{h}$  is reductive in  $\mathfrak{g}$ , namely, the adjoint representation  $\mathfrak{h} \rightarrow \mathfrak{gl}(\mathfrak{g})$  is completely reducible. Let  $\mathfrak{a}_H$  be a maximally split abelian subspace for  $H$ . Then there exists an element  $g$  of  $G$  such that  $\text{Ad}(g)\mathfrak{a}_H \subset \mathfrak{a}$ . Put  $\mathfrak{a}(H) := \text{Ad}(g)\mathfrak{a}_H$ , which is uniquely defined up to conjugacy of  $W(\mathfrak{g}, \mathfrak{a})$ .

We shall find some structure theorem of a discontinuous group acting on a



group manifold  $G \times G / \text{diag } G$  when  $\mathbb{R}\text{-rank } G = 1$ .

**Lemma 3.1.** *If  $\mathbb{R}\text{-rank } G = 1$  and  $x \in G$  is a semisimple and non-elliptic element, then  $L := Z_G(x)$  is a direct product of a compact group and  $\mathbb{R}$ .*

*Proof.* There exists a Cartan involution  $\theta$  of  $G$  such that  $\theta L = L$ . Then we have a Cartan decomposition of  $L$ :

$$\varphi : (\mathfrak{l} \cap \mathfrak{p}) \times (L \cap K) \xrightarrow{\sim} L, \quad (X, k) \mapsto (\exp X)k.$$

Let us denote by  $C$  the center of  $L$ . According to the above decomposition, we have  $C = \exp(\mathfrak{c} \cap \mathfrak{p})(C \cap K)$ . It follows from the assumption that  $\langle x \rangle := \{x^n : n \in \mathbb{Z}\} \simeq \mathbb{Z}$  is a discrete subgroup of  $G$  and  $\langle x \rangle \subset C$ . Since  $G$  is a linear group,  $C \cap K$  is compact and so  $C$  is a closed abelian group with at most finitely many connected components. Therefore  $\dim \mathfrak{c} \cap \mathfrak{p} \geq 1$ . On the other hand,  $1 = \mathbb{R}\text{-rank } G \geq \mathbb{R}\text{-rank } L = \mathbb{R}\text{-rank}[L, L] + \dim \mathfrak{c} \cap \mathfrak{p}$ . Thus we have  $\mathbb{R}\text{-rank}[L, L] = 0$  and therefore  $\mathfrak{l} \cap \mathfrak{p} = \mathfrak{c} \cap \mathfrak{p} (\simeq \mathbb{R})$ . Hence  $\varphi : (\mathfrak{c} \cap \mathfrak{p}) \times (L \cap K) \rightarrow L$  is a Lie group isomorphism, where we regard  $\mathfrak{c} \cap \mathfrak{p}$  as an additive group.  $\square$

**Lemma 3.2.** *If  $\mathbb{R}\text{-rank } G = 1$  and  $\Gamma$  is an infinite discrete subgroup of  $G$ , then there exists a compact set  $S$  of  $G$  such that  $S\Gamma S^{-1} = G$ .*

*Proof.* It is known that any infinite discrete subgroup  $\Gamma$  in a linear Lie group contains an element of infinite order. Fix such an element  $\gamma \in \Gamma$ . In order to prove lemma 3.2 it suffices to show the existence of a compact set  $S$  such that  $S\langle \gamma \rangle S^{-1} = G$ . Let  $\gamma = \gamma_s \gamma_u$  be its Jordan decomposition (see ref. [War], proposition 1.4.3.3), where  $\gamma_s$  is semisimple and  $\gamma_u$  is unipotent such that  $\gamma_s \gamma_u = \gamma_u \gamma_s$ . We divide into two cases according to whether  $\gamma_s$  is elliptic or not.

(I) Assume that  $\gamma_s$  is a non-elliptic element of  $G$ . It follows from lemma 3.1 that the only unipotent element of  $Z_G(\gamma_s)$  is the identity. Since  $\gamma_u \in Z_G(\gamma_s)$ , we have  $\gamma_u = 1$ . Thus  $\gamma = \gamma_s$  is contained in a maximally split Cartan subgroup  $J$ . Choose a Cartan involution  $\theta$  which stabilizes  $J$ . We write the corresponding Cartan decomposition  $G = \exp \mathfrak{p} K$  and we write  $J = TA$ , where  $T := J \cap K$  and  $A := J \cap \exp \mathfrak{p}$ . We can write  $\gamma = t \exp(Y)$  where  $t \in T$ ,  $Y \in \mathfrak{a}$ . Define a compact subset of  $G$  by  $S := K \{\exp sY : 0 \leq s \leq 1\}$ . Then  $S\langle \gamma \rangle S^{-1} \supset KAK = G$ .

(II) Assume that  $\gamma_s$  is elliptic. Then  $\gamma_u \neq 1$  since  $\langle \gamma \rangle = \{\gamma_s^n \gamma_u^n : n \in \mathbb{Z}\}$  is discrete in  $G$ . By the theorem of Jacobson–Morozov, there is a Lie group homomorphism  $\psi : \text{SL}(2, \mathbb{R}) \rightarrow G$  such that  $\psi\left(\begin{pmatrix} 1 & \\ & 0 \end{pmatrix}\right) = \gamma_u$ . There is a Cartan involution  $\theta$  of  $G$  such that  $\theta\psi(\text{SL}(2, \mathbb{R})) = \psi(\text{SL}(2, \mathbb{R}))$  (see ref. [He], p. 277). In particular,  $A := \psi\left(\left\{\begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} : a > 0\right\}\right)$  is a maximally split abelian subgroup of  $G$ , which is of  $\mathbb{R}\text{-rank } 1$ . Define a compact subset of  $G$  by  $S :=$

$K\psi(\{(\begin{smallmatrix} 1 & x \\ 0 & 1 \end{smallmatrix}) : 0 \leq x \leq 1\})\overline{\langle \gamma_s \rangle}$ . Then

$$\begin{aligned} S\langle \gamma \rangle S^{-1} &\supset K\psi\left(\left\{\left(\begin{smallmatrix} 1 & x \\ 0 & 1 \end{smallmatrix}\right) : x \in \mathbb{R}\right\}\right)K \\ &\supset K\psi(\mathrm{SL}(2, \mathbb{R}))K \supset KAK = G. \end{aligned} \quad \square$$

**Theorem 3.3.** *Let  $G$  be a connected reductive linear Lie group. Then the following conditions are equivalent.*

- (1)  $\mathbb{R}$ -rank  $G \geq 2$ .
- (2) *There exist infinite discrete subgroups  $\Gamma_i$  of  $G$  ( $i = 1, 2$ ) such that  $\Gamma := \Gamma_1 \times \Gamma_2$  acts properly discontinuously on the group manifold  $G \times G / \mathrm{diag} G$ .*

*Proof.* We may restrict ourselves to the case where  $G$  is non-compact, namely, where  $\mathbb{R}$ -rank  $G \geq 1$ .

Suppose that  $\mathbb{R}$ -rank  $G \geq 2$ . We can find abelian subspaces  $\mathfrak{a}_1, \mathfrak{a}_2 \subset \mathfrak{a}$  such that  $\dim \mathfrak{a}_i \geq 1$  and that  $W(\mathfrak{g}, \mathfrak{a}) \cdot \mathfrak{a}_1 \cap \mathfrak{a}_2 = \{0\}$ . Put  $A_i := \exp \mathfrak{a}_i$ ; then  $A_1$  acts properly on  $G/A_2$  from ref. [Kol], theorem 4.1. Take any lattices  $\Gamma_i$  in abelian Lie groups  $A_i$  ( $i = 1, 2$ ). Then  $\Gamma_1$  acts properly discontinuously on  $G/\Gamma_2$ , or equivalently, the discrete group  $\Gamma_1 \times \Gamma_2$  acts properly discontinuously on  $G \times G / \mathrm{diag} G$ .

Conversely, suppose that  $\mathbb{R}$ -rank  $G = 1$ . We recall that a subgroup  $\Gamma$  of  $G \times G$  acts properly on  $G \times G / \mathrm{diag} G$  iff  $C\Gamma C^{-1} \cap \mathrm{diag} G$  is compact for any compact subset  $C$  of  $G \times G$ . In particular, if there exists a compact set  $C$  in  $G \times G$  such that  $C\Gamma C^{-1} = G \times G$ , then  $\Gamma$  acts on  $G \times G / \mathrm{diag} G$  properly only if  $G$  is compact. Let  $\Gamma_i$  ( $i = 1, 2$ ) be both infinite discrete subgroups of  $G$ . It follows from lemma 3.2 that there exists a compact set  $S$  of  $G$  such that  $S\Gamma_i S^{-1} = G$ . In particular,  $(S \times S)(\Gamma_1 \times \Gamma_2)(S^{-1} \times S^{-1}) = G \times G$ . Therefore the action of  $\Gamma_1 \times \Gamma_2$  on  $G \times G / \mathrm{diag} G$  is not properly discontinuous because  $G$  is non-compact.  $\square$

**Corollary 3.4.** *Let  $G$  be a connected non-compact reductive linear group. Then the following conditions are equivalent.*

- (1)  $\mathbb{R}$ -rank  $G = 1$ .
- (2) *Any torsionless discontinuous group  $\Gamma$  in  $G \times G / \mathrm{diag} G$  is of the following form up to a switch of factor:  $\Gamma = \{(\gamma, \rho(\gamma)) : \gamma \in \Phi\}$ , with  $\Phi \subset G$  a subgroup and with  $\rho : \Phi \rightarrow G$  a homomorphism.*

*Proof.* (2)  $\Rightarrow$  (1) If  $\mathbb{R}$ -rank  $G \geq 2$ , then there exist discrete subgroups  $\Gamma_i \simeq \mathbb{Z}^{n_i}$  ( $n_i \geq 1$ ) of  $G$  such that  $\Gamma_1 \times \Gamma_2$  acts properly discontinuously on  $G \times G / \mathrm{diag} G$  from theorem 3.3.

(1)  $\Rightarrow$  (2) Suppose that  $\Gamma$  is a torsion free discontinuous group acting on  $G \times G / \mathrm{diag} G$ . Let  $p_j : G \times G \rightarrow G$  ( $j = 1, 2$ ) be natural projections to the  $j$ th factor. Let  $\Gamma_j := \mathrm{Ker} p_j \cap \Gamma$  for  $j = 1, 2$ . Then  $\Gamma_1 \times \Gamma_2$  is regarded as a subgroup of  $\Gamma \subset G \times G$ , and so is also a discontinuous group acting on  $G \times G / \mathrm{diag} G$ . It follows

from theorem 3.3 that at least one of  $\Gamma_j$  must be finite if  $\mathbb{R}$ -rank  $G = 1$ . We can assume  $\Gamma_1$  is a finite group after switching factor if necessary. As  $\Gamma$  is torsion-free, a finite subgroup  $\Gamma_1$  must be trivial, namely,  $p_{1|\Gamma} : \Gamma \rightarrow G$  is injective. Now  $\Gamma$  is of the desired form if we define  $\Phi := p_1(\Gamma)$  and  $\rho := p_2 \circ p_{1|\Gamma}^{-1}$ .  $\square$

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